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# *Data Management Plan*

*D1.1*

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## List of Abbreviations

API	Application Programming Interface
CoM	Covenant of Mayors
Git	Software for tracking changes in any set of files
GDPR	General Data Protection Regulation
NUTS	Nomenclature of territorial units for statistics
IPCC	Intergovernmental Panel on Climate Change
FAIR	Findable, accessible, interoperable and reusable



## **Executive Summary**

This deliverable sets forward the first version of the Data Management Plan (DMP) for the LOCALISED project. In particular it assesses the types and nature of data to be collected and/or generated, as well as details of strategies to make data compliant with FAIR principles. Further, this first version of the DMP introduces suggestions of appropriate licensing schemes for data and software, separately, together with planned repositories to safeguard data legacy. Further remarks on responsibilities for data publication as well as data protection are made. The final version of the DMP will be submitted at the later stages of the project based on this deliverable and reflecting the developments made in data production throughout the project.

# 1 Data Summary

This Data Management Plan presents an overview of the key data that will be used and generated by the LOCALISED project, and how this data will be managed to ensure that it is FAIR – Findable, Accessible, Interoperable and Re-usable.

The information presented below will evolve and become more specific (or change) over time, as the project progresses and as details, practicalities and feedback from project partners and key stakeholders emerges.

The main objectives of the LOCALISED project that are most relevant for the need of a DMP are:

- To downscale energy-relevant indicators determined with the EUCalculator model<sup>1</sup> (Costa et al, 2021) to NUTS3 level and make results available to the public, as well as climate information on selected indices.
- To elaborate two tools - the Decarbonization Profiler and the NetZero Business Consultant - that inform stakeholders on the challenges of decarbonization.

Within each objective, data will be collected and generated to serve specific purposes. For the downscaling exercise, data will be both generated within the consortium using the EUCalculator model and data will be gathered from external sources like EUROSTAT to be used as disaggregation proxies. Information on selected climate indices at NUTS3 level will be generated from EURO-CORDEX data. In terms of software, an API will be built to facilitate the distribution of the downscaled results.

Underlying the development of the two tools in LOCALISED are particular data and software features that due to their nature demand the collection/generation of significant amounts of data. The most relevant for the Decarbonisation Profiler is the routine that will allow users to calculate possible combinations of adaptation and mitigation options for NUTS3-regions. While in this case much of the data will derive from the downscaling exercise previously highlighted, significant quantities of data describing relevant features of mitigation and adaptation options (e.g., costs, benefits, political feasibility, public acceptance, etc.) will be gathered from literature and existing databases of adaptation. In case of the Business Consultant, data will be gathered on the economic structure of NUTS2 regions in regard to the sectoral contribution to the region's economic output. In terms of data generation, the Business Consultant will provide users with a vulnerability index generated using an adaptation of the IPCC methodology. In terms of managing code versioning and storage, the LOCALISED project will make use of PIK's GitLab<sup>2</sup>.

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<sup>1</sup> <https://www.european-calculator.eu/model/>

<sup>2</sup> [https://gitlab.pik-potsdam.de/users/sign\\_in](https://gitlab.pik-potsdam.de/users/sign_in)



## 1.1 Types of data in the project

A first survey across the consortium has revealed a number of types and data formats to be gathered/generated, see Table 1. Data in the LOCALISED project is categorised into the following 3 cases:

**Case 1:** Data is generated or collected entirely on your behalf or your institution, e.g. running your models, collecting/recording field data.

**Case 2:** Data results from a re-compilation of data from third parties and potentially your data, e.g. collecting yield data from different sources like Eurostat, FAO, by appending your own records.

**Case 3:** Data from third parties is used to compute new data, e.g. downloading climate data from CDS and generating a dataset of weather extremes.

Case 1 data will be collected in WPs 2, 5, and 7 and will be mostly related to country-level runs of the EUcalculator model and the gathering of decarbonization perspectives of businesses, their vulnerability and emerging technologies. Case 2 data will be generated across WPs 2, 3, 4, 5 and 7. Specific examples of this type of data refer to data from power systems, topographic databases and infrastructure (land use, road network) or the Composition of businesses at the NUT2 level. Finally, case 3 data will be used mostly in WPs 2, 3, 4 and 5 and refers to the generation of extreme climate indices and solar and wind -power capacities per NUT-level, socio-economic data, and existing sets of adaptation/mitigation measures and existing inventories of emissions, risks, and vulnerabilities performed around the eurozone.

In terms of data formats, several but mostly orbiting around tabular (e.g., excel, csv) and spatial explicit information (e.g., netcdf, shapefiles). Data in the form of text is also expected in regard to work collecting opinions of stakeholders or structuring of energy policy documents or adaptation strategies.

Table 1 - Envisioned data to be generated or collected

WP	Data	Format	Comment	Open / Restrictions	Ethics considerations	Case
WP2	Country-level decarbonization pathways	.json	Obtained from EUcalculator model	Open	None	1
WP2	Climate extremes of common weather variables	.nc	Processed from ERA5-Land and ERA5	Open	None	3
WP2/ WP4	European power system models	.shp .csv	Data from different open accepted models.	Open at an aggregate EU level. Local grid data can imply	None	2

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				restrictions to be evaluated case by case during the project.		
WP3	Topographic databases and infrastructure (land use, road network)	.shp	Data gathered from official APIs and web platforms	Open	None	2
WP3	Downscaled decarbonization indicators at NUTS3 level	.txt	Downscaled from EU Calculator	Open	None	2
WP3	Solar and Wind - power capacities per NUT-level	.txt	Obtained using in-house model	Open	None	3
WP3/ WP4	Socio-economic data per NUT level	.txt	Data gathered from official APIs and web platforms	Open	None	3
WP4	Collection of adaptation and mitigation measures/ options and their qualities/ KPIs/ characteristics	.xlsx .db	Data gathered from local, regional and national adaptation and mitigation plan repositories	Open after publication	None	2
WP4	Feasible mitigation and adaptation measure sets	.txt	Obtained from WP2, WP3, and WP4.1	Open	None	3
WP5	SECAP oriented SDG indicators	.xlsx	Data from various sources, SDG platform, local implementers and more	Restricted to internal use but final report open	None	2
WP5	Baseline Emission Inventory (BEI) and Risks and Vulnerabilities Assessment (RVA)	.xlsx	Calculation procedures connected to previous task and WP2 WP4	Restricted to internal use	None	3
WP5	Energy strategies linked to renovation wave and climate change	.doc	From European Directives (EPBD, EDD and RED), and national regulations	Open	None	1
WP7	Perspectives of decarbonization in Assolombarda	.docx	Obtained from interviews/ workshops	Restricted to internal use	None	1

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	regions					
WP7	Vulnerability of businesses to climate change	.doc	The exact format will be decided later	Restricted to internal use	Companies' privacy should be considered	1
WP7	Composition of businesses at the NUT2 level	.xlsx	Data from Eurostat	Open	None	2
WP7	Vulnerability index for businesses at NUTS2 level	.xlsx	Methodology developed in-house	Open	None	2
WP7	Assessment of emerging mitigation technologies and solutions	.doc	Data from expert elicitation	Open	Survey privacy	1
WPs 4,5,6,8	feedback gathered through user consultation for co-development	.csv .txt	Obtained from interviews/workshops/questionnaires	Restricted to internal use	None	1
WP9	Scientific materials from speakers and experts	.doc	Participation in webinars/seminars	Open	Previous consent will be required to the participants	1

The data generated/gathered in the LOCALISED project is expected to be most useful to municipalities, businesses and citizens concerned with or promoting decarbonization and adaptation activities. Cities participating in the project and associated partners will also benefit from the data. In addition, data is also envisioned to be useful for the scientific community dealing with energy-relevant topics such as energy modelling or regional development. The data generated by the project in the tasks outlined above (along with those from other activities and tasks) will be made available principally through three publicly accessible outlets: a database of downscaled decarbonization indicators at NUTS3-level, the Decarbonization Profiler and the NetZero Business Consultant. In order to facilitate the transfer and integration of the data generated between specific project outputs and the LOCALISED tools via their APIs (see Table 2), the common data format JSON will be evaluated for the necessary flexibility.

## 2 FAIR data

### 2.1 Making data findable, including provisions for metadata

Specific keyword and metadata terms and conventions will be defined as the data is produced and online tools are created. Naming conventions shall be developed on project levels, and will follow standards (e.g. ISO), where such standards are applicable.



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The naming convention will be documented in the metadata for the dataset. For generic repositories, the search keywords will be extracted from the metadata and provided as part of the descriptive metadata for the dataset. If there is a use of subject-specific repositories, the search keywords will follow the standard utilised by the given repository, e.g. following a specific ontology. For each LOCALISED tool, the project will adhere to search engine optimisation (SEO) best practises. This means they will be easily discoverable by search engines, using HTML meta tags to describe the content of the tools in a clear and easily understandable manner. The presence and location of the online tools will be heavily advertised by the project.

Each data owner is responsible for deciding the file naming conventions and to include a clear version-control guideline. Version control will follow the file naming conventions that include a version control in the file name.

### **2.1 Making data openly accessible**

#### **2.1.1 Accessibility for project partners**

Unless ethical issues arise, all data generated in the LOCALISED project will be available for the project patterns throughout its lifetime. Applications developed within the LOCALISED project are shared via repositories published on PIKs GitLab instance. In case of access restrictions, these repositories are mirrored on GitHub. Small datasets required to run the applications are embedded in the repository, and larger datasets are shared through the PIK cloud service.

#### **2.1.2 Accessibility for the public**

The results of the LOCALISED project will be fully accessible to the public unless ethical issues regarding particular data arise or constraints in the background section of the consortia agreement apply. Data provided via the LOCALISED planned API's and tools will be fully accessible to the public. The datasets contained within and presented by each of the tools will be viewable directly within the tools themselves, or extractable in a widely usable format (e.g., xlsx) for further analysis. To preserve transparency, the code for each of the online tools, as security concerns allow, see Table 2 WP4 and WP8, will be made open-source and accessible via public repositories in a running instance of GitLab on the servers of the PIK. Further, if required, the repositories are mirrored to public repositories on GitHub.

Table 2 - Methods and software to access data & results

<b>Work Package</b>	<b>Methods</b>	<b>Software</b>	<b>Open source</b>
WP2	Percentile-based extremes	Python	Yes
WP3	Data disaggregation tool	Java/Python/SQL	No

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WP3	API to access the energy/emission database		Yes
WP4	Python based, algorithmic ranking of feasible measure sets	API interfacing data to the lightweight model	Yes
WP4	Selection, combination and curation of model output	API interfacing results from the lightweight model to the tools	Yes
WP4	Database development	.db .xlsx	Partly
WP4	GIS Vulnerability mapping (Summation; PCA)	ARCGIS; QGIS	Yes
WP5	Calculation of SDG indicators in order to implement it on the platform.	Python (if needed)	No
WP8	Frontend accessing project results		Yes

The data made open by the LOCALISED project will be stored under established repositories in order to preserve its long-term usability. The repositories comprise those oriented to the scientific community but also a dedicated LOCALISED data-sharing platform and webpage. Subject to evaluation and confirmation, some of the outputs of LOCALISED are of potential interest to the Covenant of Mayors (CoM) given its mission in facilitating cities with elaborating their decarbonization plans. Accordingly, LOCALISED will evaluate in the following months the possibility of publishing relevant project data and outcomes via the CoM platforms.

Table 3 - Overview of data repositories for LOCALISED

<b>Data repository</b>	<b>Comment</b>	<b>For which data?</b>
Pangea <a href="https://www.pangaea.de/">https://www.pangaea.de/</a>	Open and free, generates DOI, common with the scientific community. Mostly used for environmental data, less so for energy, social etc... Compatible with EU GDPR.	All data released for public use
Zenodo <a href="https://zenodo.org/">https://zenodo.org/</a>	General-purpose open-access repository developed under the European OpenAIRE program and operated by CERN.	All data released for public use
PIK LOCALISED cloud	Restricted to project participants	Internal project data and development
Localised Data-Sharing Platform	Implemented on the FZJ OpenStack Cloud. General Access via REST API.	Downscaled energy indicators to NUTS 3 level for all of Europe

Git <a href="https://git-scm.com/">https://git-scm.com/</a>	Free and open source distributed version control system	For project APIs
LOCALISED webpage <sup>3</sup>	Accessible to all although particular restriction on some data might apply	Communication-related activities and stakeholder engagement
Covenant of Mayors platform	<i>Subject to further evaluation and confirmation</i>	Feasible mitigation and adaptation measure sets per NUT3 region

## 2.2 Making data interoperable

For LOCALISED, proprietary data formats should be, as much as possible, replaced by their open counterparts, e.g., replacing ESRI-Shapefile with GeoJSON or replacing Microsoft Excel files as OpenOffice files. To ensure interoperability of data files between different operating systems, save all data with UTF-8 encoding and OSX/Linux line endings using only line feed (LF, “\n”). LOCALISED prefers, if feasible, to use SQLite if data is stored in a database management system (DBMS) due to ease of exchange of database files between project partners or shipping to end-users. Data stored in a DBMS must be normalised and preferably distributed with an entity relationship diagram. Further, include database documentation, explaining the stored attributes per table in short phrases.

Applications developed during the project require a readme explaining the dependencies and requirements to run the software and installation guidelines. Document application code according to the documentation style suggestions of the programming language in use. Additionally, format source code files according to the syntax style guidelines of the language. In LOCALISED, it is preferred to prove the validity of the code by providing unit tests. Bug tracking and user feedback are organised through GitLab or GitHub's issues trackers.

## 2.3 Increase data re-use

For data that is planned to undergo public release licensing, the LOCALISED project will adopt the [Creative Commons Attribution International Public Licence CC BY 4.0](https://creativecommons.org/licenses/by/4.0/) or later, and the [Open Data Commons Attribution Licence ODC-By v1.0](https://opendatacommons.org/licenses/by/1.0/) or later. Both licences do not have a copyleft clause, are compatible and comparable. They allow you to share (copy, redistribute and use the data), to create (produce works from the data) and to adapt (modify, transform and build upon the data) as long as you give appropriate credit.

<sup>3</sup> <https://www.localised-project.eu/>



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For code planned to undergo public release, the [GNU Affero GPL](#) licence (version 3 or later) will be adopted. It is fully compatible with the commonly used standard GNU GPL licence (version 3 or later), but in addition covers the use case of “Software-as-a-Service” (SaaS) by requiring also in these cases the publication of the corresponding source code. This becomes relevant if someone provides access to a model as an online service by running it on a web server. Note that the suggested licence is “copyleft”. This means that a derivative of a product has to be published under the same conditions. This basically makes sure that code published under such an Open Source licence will also remain open in the future. This gives free software developers an advantage over proprietary developers: a software that they can use, while proprietary developers cannot use it without releasing the source as well.

The data provided via the LOCALISED tools will be made available to the public once enough confidence of its robustness is demonstrated by the data producer (partner in charge) and generally accepted by the team, or in case the data that has been part of scientific work fit for publication. This ensures that the data is subject to the additional quality control from the review process. After data re-use is allowed, it may be freely used by third parties for non-commercial and educational purposes.

### **3 Allocation of resources**

The costs for making the data generated by the project ‘FAIR’ will be minor, and covered as part of the budget assigned to the project partners responsible for producing the data and associated tools. Preserving data and tools of LOCALISED over the long term is expected to be possible at very low costs. Responsibilities for data management rest in the first instance with the project partners responsible for generating or compiling it, and PIK as project coordinator.

### **4 Ethical aspects**

In LOCALISED PIK serves as a legal and ethics partner. As such, PIK is dedicated to ensure that legal requirements are complied with throughout the project, in particular with respect to data protection law, and that data processing activities are ethical. Data protection law may in certain cases prohibit or limit the possibility to make data openly accessible, see data classified as Case 1 in section 1.1. In these cases, whenever ethical considerations arise they will be treated in accordance with the outlines in D1.2 “Ethical Requirements” in Walter (2022), and supervised by the “Intellectual Property Rights Committee” of LOCALISED.

Based on a sound analysis of the ethical and legal frameworks applicable to the project, PIK will elaborate guidelines (such as the LOCALISED Privacy Policy) and set up appropriate legal and compliance strategies in order to ensure that data subjects and



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data owners remain in control of their personal data and subsequent use, and that data is processed within the LOCALISED project in compliance with subjects' legal rights, particular those bestowed by GDPR. This will apply for all data collected and processed within the project, including the accelerator/residential program where data are gathered via questionnaires and interviews, the data related to the open calls, and data from dissemination activities, including personal data such as contact details from participants and stakeholders.

As such, the LOCALISED project will show deep respect for privacy and data protection as both a legal requirement and an ethical standard. In this context, all project partners regard personal data protection obligations as an ethical standard of best practice.

## 5 References

Costa, L., Moreau, V., Thurm, B., Yu, W., Clora, F., Baudry, G., & Kropp, J. P. (2021). The decarbonisation of Europe powered by lifestyle changes. *Environmental Research Letters*, 16(4), 044057.

Walter, Ch. (2022), Ethical Requirements (LOCALISED Deliverable 1.2).





[www.localised-project.eu](http://www.localised-project.eu)